

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3  
W. Lawrence  
2/16/01

In re Application of: § Docket No. JP919990216US1  
Kazuaki Mori §  
§  
Serial No. (New application) § Examiner: n/a  
§  
Filed: Herewith § Art Unit: n/a  
§  
For: Data Recording and Reproducing §  
Apparatus, Method for Recording and §  
Reproducing Video Data, Disk Drive §  
Unit, and Control Unit of Data Recording §  
and Reproducing Apparatus §

jc913 U.S. PTO  
09/675543  
09/28/00

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicant submits the following information on Substitute Form PTO-1449 listing references pursuant to 37 C.F.R. § 1.97(b). Copies of the references listed on Substitute Form PTO-1449 are included herewith. This Information Disclosure Statement is being filed within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10**

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*Dena Faris*  
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*Dena Faris*  
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No fee is believed to be necessary. However, please charge any unforeseen fees for the prosecution of the present application to IBM Corporation Deposit Account No. 09-0466.

Respectfully submitted,

  
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